Doctor of Philosophy

in

SPEECH, LANGUAGE, and HEARING SCIENCES

2019-2020

Student Handbook

The PhD “Cactus Book”
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The Department of Speech, Language, and Hearing Sciences

Mission Statement

The mission of the Department is to contribute to the scientific study of human communication and its disorders, and to educate students regarding the relevant knowledge and skills necessary for clinical and research endeavors in the field.

Academic Programs

The Department of Speech, Language, and Hearing Sciences offers coursework leading to the Bachelor of Science (BS), Master of Science (MS), Doctor of Audiology (AuD), and Doctor of Philosophy (PhD) degrees.

The Bachelor of Science degree includes coursework to develop understanding of basic principles of biological and physical sciences, mathematics and the social and behavioral sciences. The SLHS major provides a broad education regarding human communication and communication disorders across the lifespan. This degree is also preparatory for clinical degrees in speech-language pathology or audiology, as well as for advanced degrees in speech, language, and hearing sciences or related fields of study.

The Master of Science degree includes the two-year academic and clinical training to prepare students for a career in speech-language pathology. A nonclinical Master of Science degree is also available.

The Doctor of Audiology (AuD) program is a four-year course of study for a professional degree with a clinical emphasis in audiology.

The Doctor of Philosophy (PhD) program prepares students for academic and research careers through coursework and participation in research projects.

Accreditation

The Clinical MS and AuD programs are accredited by the Council on Academic Accreditation (CAA) in Audiology and Speech-Language Pathology of the American Speech-Language-Hearing Association (ASHA). For information on accreditation see https://caa.asha.org
Department of Speech, Language, and Hearing Sciences
Teaching Mission Statement

The teaching mission of the Department of Speech, Language, and Hearing Sciences at the University of Arizona is to provide excellent foundational and advanced education to students in the area of human communication and its disorders.

- For undergraduate students, our goals are
  - To promote critical thinking, writing skills, and problem-solving abilities
  - To provide high quality education in speech, language, and hearing sciences
  - To prepare students to continue their education with advanced degrees in audiology; speech-language pathology; speech, language, and hearing sciences; or related areas.
- For graduate students, our goals are
  - To train highly qualified audiologists and speech-language pathologists who are well-grounded in principles of evidence-based practice
  - To train the next generation of scientists in our professions

SLHS coursework is designed to support students to achieve the following knowledge outcomes and skills:

- Ability to comprehend basic principles of biological and physical sciences, mathematics and the social and behavioral sciences.
- Ability to demonstrate speech and language skills necessary for effective communication with clients/patients and their relevant others, and for composing well-written and substantive diagnostic and treatment reports, treatment plans, professional correspondence, and scientific and technical reports,
- Ability to analyze, synthesize and evaluate biological, acoustic, psychological, developmental, linguistic and cultural correlates of basic human communication processes and disorders including: speech, receptive and expressive language in oral, written, and manual modalities, hearing, swallowing, and cognitive/social aspects of communication.
- Ability to analyze and evaluate information about prevention, assessment, and intervention over the range of communication disorders specified in the current ASHA Scope of Practice for audiology and speech-language pathology.
- Ability to analyze and synthesize relevant information regarding professional ethics and to interpret the principles of the ASHA Code of Ethics as they apply to the professional practice of audiology and speech-language pathology.
- Ability to comprehend the common principles of research and research design, both basic and applied, used in human communication sciences and disorders and to know sources of research information and how to access them.
- Have an understanding of issues currently having an impact on audiology or speech-language pathology as professions.
RESEARCH AND CLINICAL FACULTY

Alt, Mary  Ph.D.  Associate Professor (SLP)
Baraket, Fadyeh  Au.D.  Clinical Assistant Professor (AUD)
Beeson, Pélagie M.  Ph.D.  Professor and Department Head (SLP)
Brown, Jessica  Ph.D.  Assistant Professor (SLP)
Bunton, Kate  Ph.D.  Associate Professor (SLP)
Casteix, Jennifer  M.S.  Clinical Associate Professor (SLP)
Cazzato, Kathleen  M.A.  Clinical Assistant Professor (SLP)
Cone, Barbara  Ph.D.  Professor (AUD)
DeRuiter, Mark  Ph.D.  Clinical Professor & Associate Head (AUD/SLP)
Dai, Huanping  Ph.D.  Associate Professor
Darling-White, Meghan  PhD  Assistant Professor (SLP)
Fabiano-Smith, Leah  Ph.D.  Associate Professor (SLP)
Faux Muller, Cass  M.S.  Clinical Associate Professor (SLP)
Hansen, Erica  Au.D.  Clinical Assistant Professor (AUD)
Hawley, Janet L.  ClinScD  Clinical Associate Professor (SLP)
Hoit, Jeannette D.  Ph.D.  Professor (SLP)
Kielar, Aneta  Ph.D.  Assistant Professor
Marrone, Nicole  Ph.D.  Associate Professor (AUD)
Miller, Julie  Ph.D.  Assistant Professor
Monahan, Natalie  M.S.  Clinical Assistant Professor (SLP)
Motoyoshi, Rui  M.A.  Clinical Assistant Professor (SLP)
Muller, Thomas  Au.D.  Clinical Associate Professor (AUD)
Musiek, Frank  Ph.D.  Professor
Norrix, Linda  Ph.D.  Clinical Associate Professor (AUD)
Plante, Elena  Ph.D.  Professor (SLP)
Samlan, Robin  Ph.D.  Associate Professor (SLP)
Scholer, Dori  M.S.  Clinical Instructor (SLP)
Story, Brad  Ph.D.  Professor and Associate Department Head
Velenovsky, David  Ph.D.  Senior Lecturer (AUD)
Wong, Aileen  Au.D.  Clinical Assistant Professor (AUD)
Wymer, Carole  M.S.  Clinical Assistant Professor (SLP)
FACULTY RESEARCH AREAS

The Speech, Language, and Hearing Sciences building houses research laboratories that complement our on-campus clinical facilities. Students have the opportunity to enroll in independent studies, participate in ongoing investigations, and to conduct directed research in the context of thesis and dissertation projects. A wide range of research interests are represented by the faculty as highlighted below.

Mary Alt  Receptive language development with a particular emphasis on the learning mechanisms that contribute to developmental language disorders.

Pélagie Beeson  Neurogenic communication disorders, with particular emphasis on the nature and treatment of aphasia, acquired alexia and agraphia.

Jessica Brown  Development of ecologically-valid assessments, evaluation of augmentative supports and strategies, and examination of visual and cognitive abilities to improve functional outcomes for individuals with traumatic brain injury, concussion, and stroke across the lifespan.

Kate Bunton  Kinematic, aerodynamic, and acoustic characteristics of normal and disordered speech production, speech development, and correlates of speech intelligibility.

Barbara Cone  Human auditory system development and methods for assessing hearing in infants at risk for hearing loss or neurologic impairment.

Mark DeRuiter  Clinical education in Speech-Language Pathology and Audiology; Auditory processing and language correlates in children and adults.

Huanping Dai  Basic science aspects of auditory perception, applied issues related to hearing, including the application of statistical and psychophysical principles and methods to the diagnoses of hearing disorders, and signal processing for amplification.

Leah Fabiano-Smith  Phonological development and disorders in bilingual Spanish-English speaking children and clinical issues related to culturally- and linguistically-diverse populations.

Jeannette Hoit  Normal and abnormal speech motor control, aging speech and hearing physiology, motor speech disorders, research ethics and practices.

Aneta Kielar  Integration of behavioral and neuroimaging measures of brain function with goal of understand changes in cognitive functioning associated with brain damage and recovery.

Nicole Marrone  Hearing loss and treatment in adults; aging and cognitive factors in auditory perception; improving speech understanding in competing noise and reverberation.

Julie Miller  Neurogenetic mechanisms underlying birdsong as a model for understanding speech function and dysfunction.
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<thead>
<tr>
<th>Name</th>
<th>Research Focus</th>
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<tbody>
<tr>
<td>Frank Musiek</td>
<td>Central auditory processing disorders; auditory evoked potentials, neuroanatomy and neurophysiology of the central auditory system, neuroaudiology</td>
</tr>
<tr>
<td>Elena Plante</td>
<td>Brain-language relations in developmental language disorders and language assessment and treatment.</td>
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<tr>
<td>Robin Samlan</td>
<td>Production, perception, and measurement of the human voice</td>
</tr>
<tr>
<td>Brad Story</td>
<td>Speech acoustics/analysis/signal processing, synthesis/simulation of speech and voice, relation between articulation and acoustics, speech-to-speech transformation, perception of natural and synthetic speech.</td>
</tr>
<tr>
<td>David Velenovsky</td>
<td>Tinnitus management; study of cochlear mechanics and auditory processing; animal audiology.</td>
</tr>
<tr>
<td>Meghan Darling-White</td>
<td>Develop and validate interventions that have an impact on functional speech production skills in individuals with motor speech disorders.</td>
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**Research Professionals**

- Cecelia Figueroa, M.S., CCC-SLP | L4 Lab (Alt)
- Dianne Patterson, Ph.D.           | Plante Lab & Aphasia Research Project (Beeson)
- Kindle Rising, M.S., CCC-SLP      | Aphasia Research Project (Beeson)
- Rebecca Vance, M.S., CCC-SLP       | Plante Lab
Doctor of Philosophy in Speech, Language, and Hearing Sciences

The Doctor of Philosophy (PhD) program prepares students for academic and research careers through coursework and research training. Our goal is to support the development of outstanding independent researchers and educators. Graduates from the program have a broad base of knowledge about human communication sciences and disorders and significant in-depth preparation in their special areas of concentration within the discipline. The University of Arizona provides an excellent context for multidisciplinary experiences and skill development to enhance research career preparation.

The Department encourages diversity among applicants to the program and individualized plans of study are developed in consultation with each student's major advisor and program committee. Doctoral students experience a rigorous mentoring process involving the major professor selected by the student. They also engage in a range of research experiences with members of the faculty within the Department, and from other departments on campus. It is expected that students will engage in scholarly, publishable research endeavors throughout their course of study at the University of Arizona.

The following information is intended to supplement that which is provided in the Graduate Catalog which can be found online at: http://grad.arizona.edu/Catalog/. In general, the Graduate College requirements focus on admission formalities; certain examinations and the dissertation; the makeup of committees that conduct the examination; and the sequencing of events leading up to completion of the degree requirements. The department requirements address admission to the program, coursework, research internships, teaching preceptorships, the format of written portions of examination, and the development of the dissertation prospectus.

The information that follows is subject to change. The Departmental requirements and practices summarized herein supplement those described in the University's General and Graduate Catalogs. In case of conflict, the appropriate Catalog prevails. Students are held to Departmental requirements in effect at the time they are admitted to the program unless they formally choose to meet new requirements implemented in a subsequent year.
ACADEMIC PROGRAM

The Doctor of Philosophy is a research degree. In addition to academic coursework, an important component of the program of study is ongoing involvement in laboratory-based research during each semester of the doctoral program. This may be in the form of a laboratory rotation, project assignment by a mentor, independent study, or dissertation research.

Individuals who did not complete a master’s thesis prior to enrolling in the doctoral program are required to complete a thesis-equivalent research project and present this research in a public forum (e.g. department colloquium, seminar, conference, etc.). The topic and extent of this project, as well as the presentation venue, will be determined by mentor and student. This project must be completed prior to taking the comprehensive exam.

Coursework in the Major

Students are required to complete a minimum of 36 graduate semester hours pertaining to human communication and its disorders (not all of which must be in residence or offered in the Department of Speech, Language and Hearing Sciences) exclusive of dissertation research and audits. Graduate credit that was earned within the last ten years may be applied toward the PhD degree with approval of the student's program committee. A minor is required (minimum 9 units but individual departments may require more), as well as 18 units of dissertation (SLHS 920). At least 22 units must be in courses in which regular grades (A, B, C) have been earned.

Three courses, required of all doctoral students, account for 15 of the 36 credit hours:

SLHS 649 Survival Skills and Ethics (one 3-unit registration)

SLHS 696a Doctoral Seminars in Speech, Language, and Hearing Sciences (6 credits total = registrations in Doctoral Readings to get to 4 credits and one 2-unit registration in the grant writing seminar). SLHS 696a will be offered by various faculty on a diverse range of topics.

SLHS 900 Research (two registrations for 3 units each)

Research Rotations (SLHS 900)

Students are required to complete a minimum of two research rotations for course credit prior to beginning dissertation research. These rotations are to be selected and designed to expose the student to various facets of the research enterprise and to lead the student to increasing independence. One of the rotations must be completed with a faculty member who does not serve as the student's major advisor. Each rotation will result in the development of a suitable report prepared by the student. In most cases the report will be developed into a manuscript destined for submission to an appropriate refereed publication.
Additional Major Requirement

Doctoral students must complete or present coursework to the Formative/Program Planning Committee that is equivalent to either:

- SLHS 500 - Introduction to Research Methods in Speech and Hearing Sciences. Doctoral students should plan on enrolling in SLHS 500 in their first year of study if they have not had equivalent coursework prior to enrolling at the University of Arizona.

OR

- SLHS 597 workshops currently offered as two 1 credit registrations.

Each student, in consultation with his or her program committee, will plan additional coursework in both the area of specialization and in any areas of speech, language, or hearing where the student may be deficient.

In the area of specialization, any chosen course should meet the following conceptual criteria:

1. Provides core information related to the student's proposed line of research.
2. Provides tools for the conduct of research (e.g., statistics, programming, measurement, technologies employed in research)
3. Contributes to the development of a conceptual theme underlying the student's training program.

In areas of deficiency in speech, language, or hearing, students may register for graduate classes or independent studies that are designed to cover core information. Independent studies require the student and instructor to develop a plan of study that may include attending lectures in an undergraduate class, readings, and a project that allows the student to demonstrate knowledge in the core area. The details of the project will be determined by the course instructor and the student. However, independent studies cannot be used solely as a replacement for existing classes.

An outline of the Doctoral Degree Study Program should be completed by the end of the first year, and a paper copy submitted to the Department.

Minor

The Graduate College requires that a student complete a formal minor course of study. The minor is to reflect a student's research interest with specific coursework determined by the minor department. The Graduate College requires a minor of at least 9 units of graduate-level coursework and chosen from the list of Graduate Programs found at this link: [http://grad.arizona.edu/programs/](http://grad.arizona.edu/programs/). It is solely the responsibility of students and their advisers to know and understand the requirements for a minor from any specific department. Although there is a minimum of 9 units required by the Graduate College for a minor, many departments require more than 9 units.
Research Competencies to be obtained through Laboratory Experiences and Coursework

Procedural competencies:
1. Knowledge of research instrumentation and hardware.
2. Knowledge of general use software programs (e.g., Excel, Adobe, Powerpoint), and lab specific software (e.g., Matlab, SPM, EPrime, Direct RT).
3. The ability to search the literature, including searching electronic databases and being able to pursue a theme through the literature.
4. Knowledge and application of statistics and other mathematical models for understanding data.
5. How to keep lab records.
6. In some cases, knowledge of specific techniques (e.g., ERP, dissection) that are necessary or beneficial for a specific area of research.

Writing competencies:
1. The ability to abstract and summarize information.
2. The ability to write in the publication style of the field.
3. The ability to present information in poster format.
4. The ability to write and manage human subjects-related documents.

Scientific competencies:
1. The ability to discuss lab projects in terms of the scientific method and aspects of validity.
2. The ability to design a project that measures/manipulates a target effect and controls extraneous effects.
3. The ability to manage the day-to-day aspects of completing a research project from conceptualization through data collection and manuscript production.

Teaching Preparation
It is strongly recommended that students pursuing an academic career complete the following in preparation for teaching:

Year 1: The University of Arizona offers a 10-credit Certificate in college teaching. Information on this certification can be found at http://cct.oia.arizona.edu.

Years 2-4 Preceptor in a course or a selected unit or units within a course
Prepare a portfolio to include: syllabus, learning objectives, test items, and lecture notes
Be observed by supervising professor

Policy on Major Advisor
Every student is required to have a doctoral mentor/advisor who is a member of the SLHS faculty. Although the major advisor is selected prior to admission into the program, circumstances may develop that necessitate a change of major advisor. The first step in this process is to discuss a possible change with your current major advisor and a potential advisor. If changing advisors is amicable for all involved, the only interaction necessary with the Doctoral Committee will be a signature to officially make the change. In addition, the Department Head should be made aware of the change. However, if the change of advisors becomes problematic, the Doctoral Committee and/or the Department Head may serve as an arbitrator/lienon to help solve the problem. Under only unusual and exceptional circumstances a student may be allowed to have a non-SLHS faculty member serve as their doctoral mentor/advisor for the dissertation phase of the program. Such a situation must be formally proposed to the Doctoral Committee, and approved by that committee as well as the Department Head.
Preparation for Research with Human Subjects and Clinical Populations

Human Subjects Protection Training and Research Approval Process

All students are required to complete the Human Subjects Protection Training Program. This can be done online at https://rgw.arizona.edu/compliance/human-subjects-protection-program/HSPP-training

All research involving human subjects must be approved by the University of Arizona Human Subjects Protection Program prior to implementation. Students should consult their mentors, research supervisors, and the chair of the department Human Subjects Review committee for clarification on when and how Institutional Review Board (IRB) approval should be obtained. No approval can be granted retroactively, so it is essential that before a student gathers any data, he or she have proper approval.

The Graduate College Degree Certification prefers that an IRB approval letter for dissertation research be included with the Advancement to Candidacy form (there is a checkbox to indicate if Human Subjects will be used). However, because this form should be submitted to the Graduate College soon after completing the comprehensive exam, many students will not yet have obtained IRB approval for their research. In such a case, submit the Advancement to Candidacy form and include a note stating that it is understood that IRB approval is required for final acceptance of the dissertation and that a letter of approval will be forthcoming.

To obtain the forms and information about IRB approval, refer to the Human Subjects Office for guidance: https://rgw.arizona.edu/compliance/human-subjects-protection-program/HSPP-forms

HIPAA Client/Patient Confidentiality Clinical Policy

HIPAA stands for the Health Insurance Portability and Accountability Act, a federal law regarding confidentiality of client/patient information. All students must receive HIPAA training to participate in any clinical endeavor, and are expected to adhere to HIPAA and Department policies at all times.

HIPAA training involves two steps:

1. Students must complete the UA HIPAA training through UAccess Learning. This training can be completed on campus or from an off campus location using VPN. On UAccess Learning, click on Find Learning and locate the module HIPAA (Health Insurance Portability and Accountability Act (CERTHIPAA) and click register. When registered click on HIPAA 101 and complete the self-paced modules. Detailed instructions are available on the UAccess Learning site. When you have completed the module, print the certificate of completion.

2. Students must review the department HIPAA policies (available on slhsfac.arizona.edu) and sign the department confidentiality form. Annual updates regarding HIPAA and other security issues will be provided at the start of each academic year.

Students must have a certificate of completion from UAccess Learning and a signed confidentiality form on file in the department office. Direct questions regarding HIPAA to Janet Hawley, SLHS HIPAA Compliance Officer.

Annual Report

Students are required to submit their most current curriculum vitae by April 1 of each year, and to maintain satisfactory progress toward the degree. This should be submitted to the Chair of the PhD Committee. In addition, your advisor will evaluate your progress annually and provide feedback each year. If changes are recommended, the consequences of not making the changes will be identified. Your advisor will discuss the recommendations with you and you will be asked to summarize your understanding of them. In this case, the advisor’s evaluation and recommendations, together with your CV, will be placed in your file, and also discussed by the faculty as a whole at a scheduled meeting. Please consult with your advisor or other faculty about CV format.
EXAMINATIONS AND COMMITTEES

Policy on Committees

Doctoral students will have three committees during their training: the Formative Exam and Program Development Committee, the Comprehensive Exam Committee, and the Prospectus/Dissertation Committee. The student’s major advisor typically chairs each committee, but the student is responsible for recruiting faculty to serve as members. Note: there is not an automatic carryover of members from one committee to another and it may be advantageous to have different committee members throughout the program. The responsibility of a faculty member serving on a particular committee ends when that committee has finished its designated task. If the need arises for a student to change committee members for a specific committee, the student is responsible for discussing the change with the major advisor and the affected committee members. Again, if there are conflicts associated with the change, the Doctoral Committee can arbitrate; otherwise they will not be involved.

A student should always consult their mentor concerning distribution of documentation, prospectus, presentation materials, academic plans, manuscripts, etc.

Formative Examination and Program Development Committee

All students must take a Formative Exam (referred to as “qualifying exam” in previous Cactus books) and conduct a Program Planning meeting. These should both be completed in the first semester of the doctoral program but is flexible depending on the specific circumstances of the student’s program. The Formative examination committee consists of a minimum of three members of the faculty of the Department of Speech, Language, and Hearing Sciences. The purpose of the examination is to assess a student’s research potential, identify strengths and weaknesses as they relate to the student’s goals and department requirements for a PhD in Speech, Language and Hearing Sciences. Each student, in collaboration with their committee chair, will complete the Plan for Conducting the Formative Examination form that can be found at the end of this document or on the department website. This form should be submitted to the Chair of the Doctoral Committee prior to the exam.

The examination consists of three parts:

Part 1: Students will be given 2-3 journal articles, book chapters, etc. to read that are selected by their major advisor. The advisor will also give the student 3-5 questions based on the reading material to answer in essay form. Some questions will relate to data analysis and methodology. This part of the written exam can be completed at a location of the student’s choice. One week will be allowed for completion.

Part 2: Students will be given a specific question by their committee to be completed in the Department (i.e. closed book).

Part 3: Students will be given an oral examination by their committee. The oral examination will include questions related to the written exam, specific knowledge of the field, as well as other directed discussion.

The minor department may employ other formats for the formative examination in the minor area. When the Formative exam is finished, the student’s committee chair should complete and have the committee members sign the Result of the Formative Exam form (also included with this document or on the department website). This form should be placed be submitted to the Chair of the SLHS Doctoral Committee and then filed in the student’s department file.

Comprehensive Exam Committee
All students must take a Comprehensive Exam that includes representation from both the major and minor departments. According to the Graduate College, the examining committee must consist of a minimum of four members. The Major Advisor and two additional members must be tenured, or tenure-track. The fourth member may be tenured or tenure-track, or a special approved member. Special members must be pre-approved by the Dean of the Graduate College. Any members beyond the fourth can also be tenured or tenure-track, or special approved members. A faculty member from the student’s minor department may also be a member of the comprehensive exam committee, depending on the Minor department’s requirements. Each student, in collaboration with their committee chair, will complete the Plan for Conducting the Comprehensive Examination form that can be found at the end of this document or on the department website. This form should be submitted to the Chair of the Doctoral Committee prior to the start of written exam portion, and then will be filed in the student’s department file.

The comprehensive examination consists of two parts, written and oral. The written portion of the examination must be completed before the oral portion may be scheduled. The requirement of a written comprehensive examination in the major area can be satisfied in one of two ways:

1. a traditional "closed-book" written examination. Under the closed-book option, the student completes one three-hour examination in each of the areas of study represented by the examination committee members.
2. an "open-book" written examination. Under the open-book option, the student is given a set of questions developed by their committee, for which they are given two weeks to write answers (i.e., two weeks to write answers to all of the questions). Because of the time allowed and open access to resources, the questions in this second option typically requires more in-depth answers than the first.

The written work from the exam is turned in to the Chair of the student’s Comprehensive Exam Committee; the Chair will then distribute the material to the committee. The minor department may prefer other formats for the written comprehensive examination in the minor area. The student must comply with the minor department requirements. Questions about the format or conduct of the examination should be directed to the SLHS Chair of the Doctoral Admissions and Policy Committee.

Upon successful completion of the written examinations in the major and minor(s), the Oral Comprehensive Examination is conducted with the examining committee. This is the occasion when faculty committee members have both the opportunity and obligation to require the student to display a broad knowledge of the chosen field of study and sufficient depth of understanding in areas of specialization. Discussion of proposed dissertation research may be included. The examining committee must attest that the student has demonstrated the professional level of knowledge expected of a junior academic colleague. The date of the oral examination needs to be filed with the graduate college using GradPath.

The formal oral examination is administered by the examination committee. The chair submits the outcome of the comprehensive examination to the Graduate College via GradPath. The oral examination is usually structured on the basis of the previous written work (traditional examination or research option), but it may cover any aspect of the student's preparation. The duration of the exam must be a minimum of one hour and no more than three hours. This examination must be completed within 6 months of the submission of the written portion of the exam.

Graduate College requirements regarding the Comprehensive Examination can be found in the Graduate Catalog online at: https://grad.arizona.edu/gsas/degree-requirements

If a student fails the written examination, they are required to meet with each committee member to discuss the negative result. The exam committee will also meet to decide whether the student will be allowed to retake the exam, and if so, the conditions for the retake (e.g., how soon the exam can be retaken, preparation, etc.). If a student fails the oral exam the procedure is the same; the committee will decide whether to allow a retake, and if so, the conditions of the retake. The difference, however, is that the Graduate College must be informed of the failing evaluation on the oral examination.
Prospectus/Dissertation Committee

The prospectus/dissertation committee chair is the student’s major advisor. The prospectus/dissertation committee may comprise those individuals who have served on previous committees for the student, or its composition may be adjusted to reflect the direction of the dissertation research.

The Graduate College requires a minimum of three members, all of whom must be University of Arizona tenured, tenure-track, or approved as equivalent. If a committee has only three members, all must approve the dissertation. In departments that require four or five members, there may be one dissenting vote. The fourth member may be tenured or tenure-track, or a special approved member. Special members must be pre-approved by the Dean of the Graduate College. Any members beyond the fourth can also be tenured or tenure-track, or special approved members.

The first step toward the dissertation is preparation of a prospectus document. It should include a review of the relevant literature, statement of hypotheses and specific aims, description of the research methods, and report of pilot data and data analyses if available. The expected format and extent of the document should be discussed and negotiated between the student and advisor. For example, a prospectus might be written in the form of a grant application, as a first draft of the dissertation document, or some other format. These agreed upon expectations should be made clear to the other members of the dissertation committee. A formal prospectus meeting is scheduled in which the student presents the proposal to the committee. Note, however, that during the development of a prospectus, it may be advantageous for the student to schedule one or more “pre-prospectus” meetings with the committee in order to obtain input concerning the dissertation topic, hypotheses, possible methods to be used, etc. The committee serves to advise the student on the quality of the dissertation proposal and may suggest modifications and/or additions to any aspect of the proposal. The committee should receive the written prospectus at least one week prior to the scheduled prospectus meeting. A copy of the prospectus document should be put into the student’s file in the departmental office. In addition, the dissertation director should submit a signed note to the student’s file indicating that the prospectus meeting was completed.

All students are required to present their dissertation proposal at a departmental colloquium. It is advantageous to do this prior to the formal prospectus meeting so that feedback from a diverse audience might be received. If scheduling conflicts do not allow this presentation to take place prior to the prospectus meeting, it should be completed as soon after as possible.

Members of the prospectus committee typically serve on the final dissertation examination committee, although this is not an absolute requirement. The Graduate College requires a minimum of three committee members from the University of Arizona. A student may wish to consider more members to enhance the expertise of the committee.

Prior to the dissertation defense, student must submit an “Announcement of Final Oral Examination” via GradPath. At least one week prior to the dissertation defense, the student must submit an advertisement of the defense to the SLHS departmental secretary. This will be distributed to faculty and students within the department, the School of Mind, Brain, and Behavior, and to other potentially interested parties outside the department. The dissertation defense must be held publically and scheduled such that it does not conflict with the departmental colloquium. In some cases, the defense may be held as the departmental colloquium with mutual agreement by the student and advisor. The priority of any scheduled defense is to accommodate the dissertation committee. The defense should be scheduled in a classroom in the SLHS department. The dissertation committee chair presides over the examination.
Dual Degree Program: AuD/PhD

Students enrolled in the dual degree program must complete all of the requirements for each program to complete their degrees. Details regarding the dual program are outlined below.

1. Candidates must make formal application to each program, the AuD and PhD
2. An overlap of 28 credit hours is allowed:

As an example, the list below summarizes the degree requirements that could overlap:

<table>
<thead>
<tr>
<th>Courses</th>
<th>Units</th>
</tr>
</thead>
<tbody>
<tr>
<td>1) Minor requirements</td>
<td>9</td>
</tr>
<tr>
<td>2) SLHS 500 Research Methods</td>
<td>3</td>
</tr>
<tr>
<td>3) SLHS 588[a, b or c] plus lab</td>
<td>4</td>
</tr>
<tr>
<td>5) SLHS 596G</td>
<td>3</td>
</tr>
<tr>
<td>6) SLHS 568</td>
<td>3</td>
</tr>
<tr>
<td>7) SLHS 900 in lieu of SLHS 912</td>
<td>6</td>
</tr>
<tr>
<td><strong>TOTAL:</strong></td>
<td><strong>28</strong></td>
</tr>
</tbody>
</table>

3. The following 3-unit courses can be used to fulfill unit requirements for both the PhD and AuD
   - SLHS 500 Research Methods OR SLHS 597 workshops that contain the same content.
   - SLHS 565 Acoustics and Perception of Speech
   - SLHS 596G Cochlear implants, Brainstem Implants, & Bone-Anchored Hearing Aids

4. Both degrees require a 9-unit minor. The minor can be counted toward both degrees. A caveat is that, although an AuD-only student is allowed to minor in Speech, Language, and Hearing Sciences, a student in the AuD-PhD dual degree program will be required pursue a minor outside the department.

5. Up to 6 units of 900 “research” registration required for the PhD can be used for 6 units of SLHS 912 “audiology doctoral project” for the AuD. It will be a mentored, experimental research effort, resulting in a written document, and also presented publicly and defended the ADP required by the AuD program. The additional 3 units of SLHS 912 required for the AuD will be waived for those dually enrolled in AuD and PhD programs. Please be aware that any units of SLHS 912 earned prior to admission to the dual program cannot be used to fulfill the PhD program requirements of SLHS 900 research.

6. Those in the PhD program will complete at least one additional research rotation prior to the dissertation in addition to these 6 units of research registration shared between the program.

7. AuD-PhD students will complete both the comprehensive exam for the AuD (given at the end of the 2nd year of the program), and the comprehensive exam for the PhD (normally given after coursework and research rotations have been completed).

8. AuD-PhD students will complete both a final oral defense of the dissertation for the PhD and a final exam (oral) for the AuD. The exams will be scheduled independent of one another.
Making Satisfactory Academic Progress

The PhD degree is typically completed in four years. Doctoral students must maintain a 3.0 minimum GPA during the doctoral program.

Although each student’s doctoral program is unique, the following is an example timeline that would assure satisfactory progress:

**Year 1**
- HIPAA and human subjects’ protection training: First semester
- Establish formative exam committee: First semester
- Take formative examination: First semester
- Choose minor area of study: First semester
- Prepare Plan of Study (department): Second semester
- Complete a full load of coursework: First and second semesters
- Complete an independent study in mentor’s lab: First or second semester
- File annual progress report with committee: Second semester

**Year 2**
- Submit Plan of Study (Graduate College/GradPath)**: Third semester
- Continue with a full load of coursework: Third and fourth semesters
- Complete a research rotation or preceptorship: Third or fourth semester
- File annual progress report with committee: Fourth semester

**Year 3**
- Complete a second research rotation or preceptorship: Fifth or sixth semester
- Identify a Comprehensive Examination Committee: Fifth or sixth semester
- Complete the written and oral comprehensive exam (Graduate College/GradPath): Fifth or sixth semester
- Identify a Dissertation Committee: Fifth or sixth semester
- Prepare prospectus for dissertation (e.g., F31 proposal): Fifth or sixth semester
- Obtain approval of dissertation concept: Do before colloquium
- Present a colloquium on dissertation concept: Sixth or early seventh semester
- File annual progress report with committee: Sixth semester

**Year 4**
- Complete doctoral research: Seventh semester
- Submit Doctoral Advancement to Candidacy (Graduate College/GradPath)**: Beginning seventh semester
- Schedule final Oral Defense Examination: Eighth semester
- Submit the Announcement of Oral Defense Examination (Graduate College)**: 3 weeks before date of examination
- Submit the final copies of dissertation to Graduate College.

**Graduate College forms can be found using UAccess: [https://grad.arizona.edu/gsas/gradpath](https://grad.arizona.edu/gsas/gradpath)
University Policies and Resources

The University of Arizona seeks to promote a safe environment where students and employees can participate in the educational process without compromising health, safety, or welfare. To that end, policies and guidelines are provided for student and faculty conduct, and excellent resources are available to support all members of the university community. We encourage students to seek guidance and assistance from SLHS faculty and staff as needed, including direct contact with the department head or associate heads. Anyone who experiences or is aware of discriminatory conduct or threatening behavior is urged to report the concern without delay. Concerns or needs can be communicated directly to the appropriate university offices, including the Dean of Students, Human Resources, and the Office of Institutional Equity.

Nondiscrimination and Anti-harassment Policy: The University is committed to creating and maintaining an environment free of discrimination; see http://policy.arizona.edu/human-resources/nondiscrimination-and-anti-harassment-policy

Threatening Behavior Policy: The UA Threatening Behavior by Students Policy prohibits threats of physical harm to any member of the University community, including to oneself. See http://policy.arizona.edu/education-and-student-affairs/threatening-behavior-students.

UA Office of Institutional Equity: http://equity.arizona.edu/

University of Arizona Graduate College Grievance Policy: https://grad.arizona.edu/policies/academic-policies/grievance-policy

Code of Academic Integrity: All members of the University of Arizona community have a responsibility to uphold and maintain an honest academic environment by following the UA Code of Academic Integrity. Students are encouraged to share intellectual views and discuss freely the principles and applications of course materials. However, graded work/exercises must be the product of independent effort unless otherwise instructed. Students are expected to adhere to the UA Code of Academic Integrity as described in the UA General Catalog. See https://grad.arizona.edu/policies/academic-policies/code-academic-integrity

Support Services and Resources for Students

Support Services: Student Assistance serves as a central support resource for all students. Help is available to support students and their families manage crises, life traumas, and other barriers that impede success. The staff works to address the needs of students who struggle in such areas as psychological health, physical health, victimization, relationship issues, social adjustment, and academics through a variety of interventions, referrals, and follow up services. We strive for a culture of caring on campus. Resources are available here: https://deanofstudents.arizona.edu/support/student-assistance

Disability Resources Center: The Disability Resource Center (DRC) leads the University in a progressive and proactive approach to campus accessibility. Our goal is to ensure that disabled students, employees and visitors, have a similar, if not identical, experience to that of their non-disabled counterparts. In addition to facilitating individual accommodations, DRC works proactively to impact the systemic design of our campus environments to be seamlessly accessible, reducing or eliminating the need for any individual accommodations or modifications. https://drc.arizona.edu/about
Appendix A
Speech, Language, and Hearing Sciences:
Quick Guide to Doctoral Program Requirements

What follows are separate lists of requirements and recommendations for our doctoral students. These are taken directly from the current Cactus Book which contains much more information concerning exam format, program options, suggested timelines, etc., but this should serve as a quick reference for mentors and students.

Note: Each requirement is followed by either SLHS, GC, or UA. These refer to whether the requirement is departmental (SLHS), Graduate College (GC), or University policy (UA). In general, any GC-based requirement involves student and/or faculty action in GradPath.

Requirements

- Every student is required to have a **doctoral mentor/advisor** who is a member of the SLHS faculty. (SLHS)
- Every student must receive **HIPAA training** to participate in any clinical endeavor. (UA)
- Every student is required to complete the **Human Subjects Protection Training Program** approved by the University of Arizona. (UA)
- Students must obtain or be covered by **IRB approval** for research projects involving human subjects. Students should consult their mentors, research supervisors, and the chair of the departmental Human Subjects Review committee for clarification on when and how IRB should be obtained. (UA)
- Individuals who did not complete a master’s thesis prior to enrolling in the doctoral program are required to **complete a thesis-equivalent research project and present this research in a public forum** (e.g. colloquium, seminar, conference, etc.). The topic and extent of this project, as well as the presentation venue, will be determined by mentor and student. This project must be completed prior to taking the comprehensive exam. (SLHS)
- Students are required to be involved in **laboratory-based research during each semester** of their doctoral program. This may be in the form of a laboratory rotation, project assignment by a mentor, independent study, or dissertation research. (SLHS)
- Students are required to complete a **minimum of 36 graduate semester credits** according to the plan of study approved by the student’s mentor and Formative/Program Development committee. These credits are in addition to credits obtained via dissertation research and audits. (GC)

Required courses (SLHS)

- **SLHS 649** Survival Skills and Ethics (3 credits)
- **SLHS 696A** Doctoral Seminars in Speech, Language, and Hearing (4 credits)
- **SLHS 696A** Grant Writing (2 credits)
- **SLHS 900** Laboratory research rotations (two registrations for 3 units each); one rotation must be in a lab other than that of the student’s primary mentor.
- Students are required to complete or present (“take or show”) coursework to the Formative/Program Development Committee that is equivalent to **SLHS 500**. (SLHS)
- The Graduate College requires that a student complete a formal **minor** course of study. The minor must consist of at least **9 credits** of graduate-level coursework. (GC)
- Students are required to file an **annual report** at the end of each spring (due April 1) and student progress will be reviewed annually by the faculty. Note that each student should
document at least one research presentation per year. This could consist of a presentation at a lab meeting, colloquium, conference, or other venue. (SLHS)

- All students must take a **Formative Exam** and conduct a **Program Planning** meeting. These should both be completed in the first semester of the doctoral program but is flexible depending on the specific circumstances of the student’s program. The department forms for “**Plan for Conducting the Formative Exam**” and “**Results of the Formative Exam**” must be filed with the PhD Committee Chair (Story) and Susan Bridgewater. (SLHS)

- Must submit the “**Doctoral Plan of Study**” to the Graduate College. This is generally completed early in the second year of the doctoral program through **GradPath**. (GC)

- All students must take a **Comprehensive Exam** that includes representation from both the major and minor departments, and must include both written and oral portions. The first step is for the student to file the “Comprehensive Exam Committee Appointment Form” in **GradPath**. The **“Announcement of Doctoral Comprehensive Examination”** is scheduled by the student in **GradPath**. This will initiate an email sent to the committee chair that will contain a **GradPath** link for reporting the **“Results of the Oral Comprehensive Examination for Doctoral Candidacy”** to the Graduate College. (GC)

- Following successful completion of the Comprehensive Exam, and appointment of a dissertation committee, a student must submit a “**Committee Appointment**” form to the Graduate College via **GradPath**. This formalizes the student’s Advancement to Candidacy for the PhD. (GC)

- All students are required to prepare a prospectus document and hold a prospectus meeting with their chosen dissertation committee. A copy of the prospectus document should be put into the student’s file in the departmental office. In addition, the dissertation director and student should file the **“Verification of Prospectus/Proposal”** form via **GradPath**. (GC).

- All students are required to present a dissertation proposal at a departmental colloquium. (SLHS)

- Prior to the dissertation defense, student must file the “**Announcement of Final Defense**” form in **GradPath**. This will initiate an email to the committee chair with a link to the **“Results of Final Defense”** form. This link should be saved and used at the completion of the defense. (GC)

- At least one week prior to the dissertation defense, the student must submit an advertisement of the defense to the departmental secretary. This will be distributed to faculty and students within the department, the School of Mind, Brain, and Behavior, and to other potentially interested parties outside the department. (SLHS)

- The dissertation defense must be held publicly and scheduled such that it does not conflict or coincide with the departmental colloquium. The defense should be scheduled in a classroom in the SLHS department. (SLHS/GC).

For a list that summarizes the official Graduate College forms that will be filed in **GradPath** over the course of your PhD program see:  
[http://grad.arizona.edu/gsas/degree-requirements](http://grad.arizona.edu/gsas/degree-requirements)
Plan for Conducting the Comprehensive Examination

Department of Speech, Language, and Hearing Sciences

Description of the Exam

The comprehensive examination consists of two parts, written and oral. The written portion of the examination must be completed before the oral portion may be scheduled. The requirement of a written comprehensive examination in the major area can be satisfied in one of two ways:

1. Traditional “closed-book” written examination. Under this option, the student completes one three-hour examination in each of the areas of study represented by the examination committee members.

2. “Open-book” written examination. In the open-book option, the student is given a set of questions developed by their committee, for which they are given two-weeks to write answers (i.e., two weeks to write answers to all of the questions).

Upon successful completion of the written examinations in the major and minor(s), the Oral Comprehensive Examination is conducted before the examining committee of the faculty according to the steps provided in the Ph.D. Cactus Book and the Graduate College.

Student name: __________________________

Committee Chair: _________________________

Committee members: _______________________

(including member from Minor dept.)

Option for written portion of exam (check one box):

□ Closed-book (three hour exam in each area represented on committee)

□ Open-book (two-week period for writing in all areas represented on committee)

Date proposed to begin written portion: __________________________

Date proposed to end written portion: __________________________

Signature below indicates that this plan has been submitted to, and approved by, the Chair of the Ph.D. Admissions and Policy Committee. Without this approval, the Comprehensive Exam form for the Graduate College will not be signed by the Department Head. The student should make two copies of the signed version of this form: one copy to student, one copy to Committee chair, and original for student’s departmental file (please submit to Susan Bridgewater).

Chair of the Ph.D. Admissions and Policy Committee (print name after signature) Date
Plan for Conducting the Formative Examination

Department of Speech, Language, and Hearing Sciences

Description of the Exam

The examination is comprised of three parts:

1. Students will be given 2-3 journal articles, book chapters, etc. to read that are selected by their major advisor. The advisor will also give the student 3-5 questions based on the reading material to answer in essay form. Some questions will relate to data analysis and methodology. This part of the written exam can be completed at a location of the student’s choice. One week will be allowed for completion.

2. Students will be given a specific question by their committee to be completed in the Department (i.e. closed book).

3. Students will be given an oral examination by their committee. The oral examination will include questions related to the written exam, specific knowledge of the field, as well as other directed discussion.

________________________________________________________________________

Student name: ____________________________

Committee Chair: __________________________

Committee members: ________________________

Date proposed to begin written portion: ________________

Date proposed to end written portion: ________________

Date proposed for oral portion: ________________

Signature below indicates that this plan has been submitted to, and approved by, the Chair of the Ph.D. Admissions and Policy Committee. The student should make two copies of the signed version of this form: one copy to student, one copy to Committee chair, and original for student’s departmental file (please submit to Susan Bridgewater).

Chair of the Ph.D. Admissions and Policy Committee (print name after signature)       Date
Result of the Formative Examination
Department of Speech, Language, and Hearing Sciences

Date of Exam: ________________
Student name: __________________

Result (circle one): PASS FAIL

Signatures below indicate that the Committee Chair and committee members concur with the result of the exam.

__________________________
Chair of Doctoral Committee (print name after signature) Date

__________________________
Committee member (print name after signature) Date

__________________________
Committee member (print name after signature) Date

__________________________
Committee member (print name after signature) Date

When completed, this form should be placed in the student’s departmental file.

________________________________________________________
Comments (optional) ______________________________________